

Quick Reference Guide: Disclosable and Non-Disclosable Outside Financial Activities

Compensation	
Disclosable Outside Financial Activities	Non-Disclosable Outside Financial Activities
<p>Disclosable compensation is any compensation given directly to you or your immediate family (not through the University).</p> <p>Some examples of disclosable compensation include, but are not limited to:</p> <ul style="list-style-type: none"> • Consulting fees • Gifts or other rewards • Gifts-in-kind • Honoraria from businesses, including participation in speakers bureaus and other promotional activities • Salary, unless it falls into one of the categories of non-disclosable compensation • Spouse's salary, if your spouse is employed in a field related to your institutional responsibilities other than at UNO • Income from such activities sponsored by a foreign institution of higher education or foreign governmental body is disclosable compensation • Income from editorial work (e.g. journal editorships or editing compilations of scholarly papers) • Payments connected to research and NOT governed by a research agreement between the sponsor and UNO • Payments for serving on advisory boards • Project milestone payments for human subjects research • Royalty and copyright income not managed by UNO • Travel reimbursements from businesses that exceed your actual travel costs 	<ul style="list-style-type: none"> • For non-PHS-funded projects, compensation from activities NOT related to your sponsored project; for PHS-funded projects, compensation from activities NOT related to your institutional responsibilities at UNO, including compensation to your spouse, if he or she does NOT work in a field related to your institutional responsibilities • Salary or other compensation from UNO • Royalty income from intellectual property rights arising out of university employment that are assigned to organizations created to manage such rights on behalf of UNO • Income from seminars, lectures, occasional teaching engagements; from service on advisory committees or grant or project review panels; or study sections sponsored by a federal, state, or local government agency, an institution of higher education (as defined in 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an institution of higher education. (The exception described here applies only to U.S.-based institutions and agencies.) • Payments under grant or gift agreements between the sponsor and UNO
Ownership	
Disclosable Outside Financial Activities	Non-Disclosable Outside Financial Activities
<p>Reportable ownership interests include, for example:</p> <ul style="list-style-type: none"> • Stock • Stock options • Sole proprietorships • Partnerships • Limited liability corporations 	<ul style="list-style-type: none"> • Income from investment vehicles such as mutual funds and retirement funds is not reportable as long as the individual disclosing this information does not directly control the investment decisions made in these vehicles • Interests in businesses or organizations unrelated to your institutional responsibilities at UNO

UNO COI Policy and Program: Guidance Document

Leadership	
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<p>Reportable leadership activities include, for example:</p> <ul style="list-style-type: none"> • Offices, such as president, treasurer, etc. • Trusteeships • Service on boards of directors • Executive positions, such as president, CEO, CIO, etc. • Professional societies • Trusts 	<p>Non-reportable leadership positions include those in the following types of organizations:</p> <ul style="list-style-type: none"> • Religious organizations • Political organizations
Travel	
Disclosable Outside Financial Activities	Non-Disclosable Outside Financial Activities
<p>Investigators with PHS-funded research must disclose travel events related to their institutional responsibilities including, for example, the following:</p> <ul style="list-style-type: none"> • Corporate-sponsored travel • Compensated vacation travel • Participation in a conference for a professional society • Travel sponsored by foreign institutes of higher education or foreign governmental agencies 	<p>For investigators with PHS-funded research, the following types of travel need not be disclosed:</p> <ul style="list-style-type: none"> • Self-funded travel • Travel covered through UNO reimbursement system • Travel funded through UNO • Travel reimbursed or sponsored by a federal, state, or local government agency, an institution of higher education (defined at 20 USC 1001(a) (http://tinyurl.com/9fnyj77), an academic teaching hospital, a medical center, or a research institute that is affiliated with an institution of higher education. (The exception described here applies only to U.S.-based institutions and agencies.) • Travel reimbursed or sponsored by the National Research Commission or the related National Academies